

PRIVACY NOTICE

Thank you for reviewing our privacy commitment to you. Your privacy is important to us. Your personal information is kept secure. Under federal law, you have a right to know what information is being collected about you and how that information will be used.

We collect nonpublic personal information about you from the following sources:

- Information we receive from you on applications or other forms
- Information about your transactions with us; and
- Information that you specifically have had your other professional advisors forward to us.

We do not disclose any nonpublic personal information about our customers or former customers to anyone, except as permitted or required by law, or as directed by you – our customer, or in accordance with the closure of your account:

- *Under the law*, the information we collect is provided to companies that perform support services on our behalf as necessary to effect, administer, or process a transaction, or for maintaining and servicing your account.
- *As directed by you*, we will be working with your other professional advisors and we will provide information in our possession that is reasonably requested by the other advisors.
- *If you close your account*, in the process of transferring your investments we may share your information with the new Investment Advisor, Broker/Dealer or custodian that you or your financial advisor selects. If your financial advisor servicing your account leaves us to join another Investment Advisor or Broker/Dealer, the financial advisor is permitted to retain copies of your information so that he or she can continue to serve you at the new firm. The financial advisor is still required to keep your personal information confidential and may only use it to service your account.

We do not give or sell information about you or your accounts to any other company, individual or group.

We restrict access to nonpublic personal information about you to those employees who need to know that information to provide products or services to you. We maintain physical, administrative, and technical procedural safeguards to protect your nonpublic personal information.

We can share your personal information for everyday business purposes with one or more of our affiliated entities, at our sole discretion and for purposes that either perform services for us or function on our behalf (such as check printing services, account aggregation providers, broker/dealers, investment companies, and insurance companies).

Definition:- Nonaffiliates- Companies not related by common ownership or control. They can be financial and nonfinancial companies. We share personal client information with Triad Advisors LLC, a nonaffiliated registered broker/dealer.

You do not need to call or do anything as a result of this notice. It is meant to inform you of how we safeguard your nonpublic personal information.

If you prefer that we not disclose nonpublic personal information about you upon closure of your account as specified in the third bullet above, you may opt out of those disclosures, that is, you may direct us not to make those disclosures. You may at the time of the closure of your account, direct us to share the information. You cannot opt out of the disclosures as permitted or required by law under the first bullet above. To opt out, contact us at:

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