



*Old Field
Advisors LLC*

Form CRS Disclosure

July, 2021

Item 1 Introduction

Old Field Advisors LLC is an SEC registered investment advisory firm headquartered in New York. Our advisory services include financial planning, ongoing discretionary wealth management, equity compensation consulting, and philanthropic planning services. Old Field Advisors' clients include individuals, high net worth individuals, families, business entities, trusts, estates, foundations and endowments. Additional information regarding our services can be found in Item 4 and Item 7 on our [ADV Part 2A](#).

Investment advisory services and fees differ from brokerage fees and it is important for you to understand the differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

Item 2 Relationship and Services

What investment services and advice can you provide me?

We offer the following services to retail investors: 'On demand' analyses, focused on Financial Planning, Equity Compensation Planning, and Philanthropic Planning; ongoing Wealth Management Services covering those financial planning considerations *in addition to* investment management. We do not participate in Wrap Fee Programs.

Investment Authority

We manage wealth management clients' accounts on a discretionary basis whereby we will decide which investments are bought and sold in your account and when.

Investment Offerings

We primarily invest in ETFs and Mutual Funds but provide advice on various types of investments. Our services are not limited to a specific type of investment and/or product.

Account Monitoring

Wealth management clients' managed accounts are monitored and reviewed on a continuous basis. Portfolio rebalancings are responsive to opportunities to sell into strength and buy into weakness.

Account Minimums and Other Requirements

We do not require a minimum dollar amount to open and maintain a wealth management relationship. However, we do charge a minimum annual fee of \$6,000 per client relationship.

Additional Information:

More detailed information about our services can be found in our firm brochure. (ADV Part 2A Items 4 & 7) Our form ADV is available at <https://adviserinfo.sec.gov/firm/brochure/134519>

Ask Your Advisor:

- Given my financial situation, should I choose ongoing investment management? Why or why not?
- How will you choose investments to recommend to me?
- What relevant experience, licenses, and education qualifies you for this work?
- What do these qualifications mean?

Item 3 Fees, Costs, Conflicts, and Standard of Conduct

What fees will I pay?

The fees you pay and the frequency with which you are billed depends on the services requested:

For project-based financial planning we charge a project fee based on hourly fees times the time required to analyze your specific situation and provide you with recommendations.

- For clients with limited concerns, we offer a standard \$800 (2 hours) “Limited Edition” financial consultation.
- For more complex planning projects, we request an initial retainer of \$800 and provide a detailed quote for your review and acceptance, following our initial analysis and project meeting. The balance due on a project fee is paid when you receive your recommendations.

For wealth management services, you pay a fixed annual retainer, based on the complexity of your portfolio and financial planning considerations. One quarter of your agreed upon annual retainer is debited at the end of each quarter, from your specified account .

Old Field Advisors never bills an amount above \$500.00 more than 6 months in advance.

Other Fees and Costs

In addition to Old Field Advisors’ fees described above, wealth management clients may pay common investment fees like custodian or account maintenance fees, transactions costs or product level fees related to exchange traded funds, mutual funds or other investments held.

You pay fees and costs whether you make or lose money on your investments. Those fees and costs will reduce the money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Ask your Adviser:

- How might fees and costs affect my investments? If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?"

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we are legally required to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money can create conflicts with your interests. You should understand these conflicts because they may affect the advice we provide you. For example

- Our compensation for project based financial planning is based on the time we spend on your project, which could incentivize us to take more time on a project than we might spend on a standard flat fee project.
- You will pay fees and costs whether you make or lose money on your investments.

How do your financial professionals make money?

Our financial professionals are compensated solely for their time, at rates based on their knowledge and experience.

We are not paid commissions, referral fees or other forms of compensation based on our recommendations.

Ask your Adviser:

- What are your legal obligations to me when acting as my investment adviser?
- How else does your firm make money and what conflicts of interest do you have?

Item 4 Disciplinary History

Do you or your financial professionals have legal or disciplinary history?

No. Old Field Advisors and its investment adviser representatives have no legal or disciplinary history to report. You can visit [Investor.gov/CRS](https://www.investor.gov/CRS) for a free search tool to research us or another financial professional.

Item 5 Additional Information

For additional information, or the latest copy of this disclosure, please visit our website oldfieldadvisors.com or call (631) 751-7230.

Ask your Adviser:

- Who is my primary contact person?
- Is he or she a representative of an investment adviser or a broker-dealer?
- Who can I talk to if I have concerns about how this person is treating me.