



Item 1 Introduction

Old Field Advisors is registered with the Securities and Exchange Commission (SEC) as an investment adviser. Brokerage and investment advisory services and fees differ and it is important for you to understand these differences.

Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

Item 2 Relationship and Services

What investment services and advice can you provide me?

We offer the following services to retail investors: 'As needed' analyses, focused on equity compensation, philanthropic and personal financial planning; ongoing Wealth Management Services covering those financial planning considerations *in addition to* investment management. We do not participate in Wrap Fee Programs.

Only wealth management clients' managed accounts are monitored and reviewed on a continuous basis. We manage those accounts on a *discretionary* basis whereby, subject to your Investment Policy Statement, we decide which investments are bought or sold, and when. Portfolios are rebalanced when we see opportunities to sell into strength or buy into weakness.

Our services are not limited to a specific type of investment and/or product. We primarily invest in ETFs and Mutual Funds but provide advice on a wide range of investments.

We do not require a minimum dollar amount to open and maintain a wealth management relationship. However, we do charge a minimum annual fee of \$6,000 per client relationship.

Additional Information:

More detailed information about our services can be found in Items 4 & 7 of our firm's disclosure brochure (ADV Part 2A) available at <https://adviserinfo.sec.gov/firm/brochure/134519>

Ask Your Advisor: *Given my financial situation, should I choose ongoing investment management? Why or why not? How will you choose investments to recommend to me? What relevant experience, licenses, and education qualifies you for this work? What do these qualifications mean?*

Item 3 Fees, Costs, Conflicts, and Standard of Conduct

What fees will I pay?

The fees you pay and the frequency with which you are billed depends on the services requested:

For project-based financial planning we charge a project fee based on hourly fees times the time required to analyze your specific situation and provide you with recommendations. For planning projects requiring more than four hours, we provide a detailed quote for your review and acceptance.

For wealth management services, you pay a fixed annual retainer, based on the complexity of your portfolio and financial planning needs. One quarter of your annual retainer is debited from your specified account at the end of each calendar quarter.

Other Fees and Costs

In addition to our fees, wealth management clients may also pay common fees like custodian or account maintenance fees, transactions costs or product level fees related to funds or other investments.

You pay fees and costs whether you make or lose money on your investments. Those fees and costs will reduce the money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Ask your Adviser: *How might fees and costs affect my investments? If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?"*

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we are legally required to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money can create conflicts with your interests. You should understand these conflicts because they may affect the advice we provide you. For example, our compensation for project based financial planning is based on the time we spend on your project, which could incentivize us to take more time on a project. You will also pay fees and costs whether you make or lose money on your investments.

Ask your Adviser: *How might your conflicts of interest affect me, and how will you address them?*

Please see our ADV Part 2A [disclosure brochure](#) and other applicable documents for additional Information on conflicts of interest.

How do your financial professionals make money?

Our financial professionals are compensated solely for their time, at rates based on their knowledge and experience. We are not paid commissions, referral fees or other forms of compensation based on our recommendations.

Ask your Adviser: *What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?*

Item 4 Disciplinary History

Do you or your financial professionals have legal or disciplinary history?

No. Old Field Advisors and its investment adviser representatives have no legal or disciplinary history to report. You can visit Investor.gov/CRS for a free search tool to research us or another financial professional.

Item 5 Additional Information

For additional information, or the latest copy of this disclosure, please visit our website oldfieldadvisors.com or call (631) 751-7230.

Ask your Adviser: *Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me.*