



CHAMBERLAIN FINANCIAL
PLANNING & WEALTH MANAGEMENT

Privacy Policy Statement

As investment advisers, Chamberlain Financial Planning and Wealth Management will have access to certain personal and private information in the managing of your accounts. Ensuring the confidentiality of your personal information is a priority for us. In this document, we outline the types of information we collect, how it is used, and our approach to safeguarding the data. Just like you, we value privacy, and promise to never sell, rent or trade your information to other parties. We will only use your information in the service of you, and only share it with outside entities when there is a clear legal or regulatory obligation to do so. If you have questions or believe we have not lived up to our privacy policy, please contact us at: info@chamberlainfp.com.

TYPES OF PERSONAL INFORMATION WE COLLECT AND WHY In order to serve you, we collect personal information when you engage our financial planning and investment advisory services or open an account. We will only collect information provided by you or obtained with your authorization. This can include, but is not limited to: your address, phone number(s), email address, social security number, date of birth, banking information, financial account numbers and/or balances, and sources of income. If you authorize our firm to collect account information not directly managed by us, we may use a third-party account aggregation services, and such data will also be governed by the privacy policy of our data aggregation service providers. Additionally, when using our website or mobile app, we may collect anonymous data using cookies and related technologies. Data collected may include your IP address, geo-location, and device information.

PARTIES TO WHOM WE DISCLOSE INFORMATION Our firm may share your information with specific service providers in order to conduct our business on your behalf. Common activities such as the opening of accounts, processing of transactions, performance reporting and maintenance of account(s) may necessitate sharing private information with relevant custodians and broker/dealers as well as licensed service providers. For any service provider with whom we share private information, we require that they equally maintain an appropriate privacy policy and necessary information safeguards. We may also be required to share certain data in responding to court orders and legal investigations. We do not share any personal data for the purposes of marketing or cross-selling of services from other companies. Should we ever promote products or services of other companies to you, you will have the right to decline to receive such offers in the future.

PROTECTING CONFIDENTIALITY OF INFORMATION We restrict access to the personal information to only those employees, agents and contractors who need it to do their jobs. We maintain administrative, technical, and physical safeguards designed to protect your personal information. Additionally, companies that provide services to our firm are required to protect customer information. They are only allowed to use the information they collect for the purpose of providing the services that we have contracted to them, such as client relationship management, portfolio performance reporting, email archiving and document storage.

PRIVACY POLICY To protect your personal information from unauthorized access and use, we use security measures that comply with federal law as well as industry best practices, including computer safeguards, data encryption, and secured network services.

SOCIAL SECURITY NUMBER PRIVACY PROTECTION POLICY We collect social security numbers as necessary to support state and federal legal requirements and to provide products or services to. To support these requirements, we restrict the access to social security numbers only to employees, agents, service providers, and government entities that require access to social security numbers to meet these purposes. We strive to protect social security numbers from unlawful disclosure and keep them confidential by maintaining administrative, technical, and physical safeguards that comply with applicable state and federal regulations.

CHANGES TO THIS POLICY This Privacy Policy is effective July 1st, 2019. We reserve the right to alter this policy at our discretion. Any change to this policy will be highlighted to clients and posted on our sites in a timely manner.

With your signature below, you are authorizing us to share information about you to the following individuals or service providers.

Attorney _____

Tax Professional _____

Others _____

_____/_____/_____ Date _____