



## **Fees for Financial Planning Services & Investment Programs**

<b>FINANCIAL PLANNING SERVICES</b>	<b>FEE/FEE RANGE</b>
<p><b>Retirement Plan</b>  <i>Includes: 2-3 meetings for plan development, Secure Client Website access, email summaries with action plans along with a printed and electronic PDF of final plan.</i></p>	<p>Starting at <b>\$3,000</b></p> <p>Discounted 50% if also choosing Continuous Investment Supervisory service below.</p>
<p><b>Continuous Planning Service</b>  <i>Covers: plan implementation, plan monitoring, annual review, client website access, check-ins, email support.</i></p>	<p>Starting at <b>\$500/month</b></p> <p>Billed monthly in arrears. You will be billed on the 1st of the month and can cancel anytime. (This service is only available to clients who completed the retirement plan above)</p>
<p><b>Annual Plan Review</b>  <i>Includes: plan update meeting, email summary with action plans and a printed updated plan.</i></p> <p>(Available if not using continuous planning service)</p>	<p><b>\$2,000</b> - if review is within 18 months of initial plan delivery or previous review  <b>\$3,000</b> - if review is more than 18 months after initial plan delivery or previous review            (Non-Negotiable)</p>
<p><b>Hourly Assistance</b></p>	<p><b>\$250/hr</b></p> <p>1 hour minimum. (This service is only available to clients who completed the retirement plan above).</p>
<b>INVESTMENT PROGRAMS</b>	<b>FEE/FEE RANGE</b>
<p><b>Continuous Investment Supervisory</b>  <i>Includes: asset allocation, investment selection, portfolio implementation, monitoring, review and rebalancing, program also includes Continuous Planning Service.</i></p>	<p><b>0.85%-1.5%</b> of Assets Under Management</p>
<p><b>One-time Investment Selection Assistance</b>            (For employer sponsored 401k's and 403b's)</p>	<p>Starting at <b>\$1,500</b></p> <p>Based on account type and value. (Non-Negotiable)</p>
<p><b>One-time Investment Implementation Help</b>            (For employer sponsored 401k's and 403b's)</p>	<p><b>\$250</b> per session</p> <p>For use after investment selection. (Non-Negotiable)</p>
<p><b>One-time Portfolio Rebalancing</b>            (For employer sponsored 401k's and 403b's)</p>	<p>Starting at <b>\$1,500</b></p> <p>For use after investment selection. Based on account type and value.</p>

Except where otherwise indicated, fees are negotiable depending upon the needs of the client and complexity of the situation. Because fees are charged in arrears, no refund policy is necessary. Clients may terminate their accounts without penalty within 5 business days of signing the advisory contract. Continuous Investment Supervisory fees are withdrawn directly from the client's accounts with client written authorization.