

WHAT DOES WSP DO WITH YOUR PERSONAL INFORMATION?

Why?	Financial companies choose how they share your personal information. Federal law gives consumers and investors the right to limit some, but not all, sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand our policies.
What?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: <ul style="list-style-type: none"> • Social Security number and assets • Accounts balances and transaction history • Wire transaction instructions and risk tolerance
How?	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons WSP chooses to share; and whether you can limit this sharing.

Reasons we can share your information	Does WSP share?	Can you limit this sharing?
For our everyday business purposes – Such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or to report to credit bureaus	Yes	No
For our marketing purposes – To offer our products and services to you	No	We don’t share
For joint marketing with other financial companies	No	We don’t share
For our affiliates’ everyday business purposes – information about your transactions and experiences	No	We don’t share
For our affiliates’ everyday business purposes – information about your creditworthiness	No	We don’t share
For non-affiliates to market to you	No	We don’t share

To limit our sharing	<ul style="list-style-type: none"> • Call 919-948-4452 • Visit us online: https://www.wealthshieldpartners.com/contact-wsp <p>Please note: If you are a new customer, we can begin sharing your information 30 days from the date we sent this notice. When you are no longer our customer, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.</p>
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Questions?	Call 919-948-4452
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What we do

How does WSP protect my personal information?	To protect your information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does WSP collect my personal information?	We collect your personal information, for example, when you: <ul style="list-style-type: none"> • Open an account or seek advice about your investments. • Make a wire transfer or buy securities. • Enter into an investment advisory contract with WSP.
Why can't I limit all sharing?	Federal Law gives you the right to limit your sharing for: <ul style="list-style-type: none"> • sharing for affiliates' everyday business purposes – information about your creditworthiness • affiliates from using your information to market to you • sharing for non-affiliates to market to you State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates	Companies related by common ownership or control. They can be financial and not financial companies. <ul style="list-style-type: none"> • Our affiliates include WealthShield Insurance, LLC and DIO Management, LLC.
Non-affiliates	Companies not related by common ownership or control. They can be financial and non-financial companies. <ul style="list-style-type: none"> • WSP does not share with non-affiliates so they can market to you.
Joint Marketing	A formal agreement between non-affiliated financial companies that together market financial products or services to you. <ul style="list-style-type: none"> • WSP does not jointly market.

Other important information

How does WSP protect my personal information?	WSP restricts access to non-public personal information about you to those individuals who need to know that information to provide products or services to you and perform their respective duties. WSP maintains physical, electronic, and procedural security measures to safeguard confidential client information.
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